CITY OF MINNEAPOLIS

Audit Committee Meeting

January 27th, 2015

Minneapolis
City of Lakes

3/25/2015

Agenda

- Findings follow up

- Enterprise Data Governance Audit

- NCR Evaluation Project

- Risk Assessment

- IT Audit Outsourcing
  - Interim
  - Long Term

Audit Findings Follow Up

- 27 open audit findings from prior team
  - 7 in Finance Dept.
  - 7 in Public Works Dept.
  - 4 in Human Resources Dept.
  - 4 in Business Information Services (IT)
  - 2 in Police Dept.
  - 1 in Clerks Office
  - 1 in Community Planning and Economic Development Dept.
  - 1 finding was changed to a recommendation

Enterprise Data Governance Audit

- Standards:
  - MN Govt. Data Practices Act – MN Statute
  - Handbook for MN Cities – MN League of Cities
- Processes:
  - Intake and creation
  - Access provisioning and monitoring
  - Records retention and public access
- Policies:
  - Various City Records Management Policies

NCR Evaluation Project

Staff Direction for Internal Audit to facilitate the evaluation of the effectiveness of the Neighborhood & Community Relations Department.

Scope and Objective Setting

Consultant Selection

Project Execution and Deliverable

Risk Assessment

- Process
  - Meetings
    - Risk Management Education
    - Questionnaire
  - Output Aggregation
  - Audit Plan
  - Continuous Risk Assessment
- Hennepin County Audit Collaboration

IT Audit Outsourcing

- Interim Outsourcing

- Continued Support
  - Integrated Audits
  - IT Audits
  - Risk Assessment and Other
  - RFP Posted, responses due 2/11/15